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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Joseph Paul Kuczynski	:	Date:	July 26, 2001
Group Art Unit:	Unassigned	:		IBM Corporation
Examiner:	Unassigned	:		Intellectual Property Law
Serial No.:	Unassigned	:		Dept. 917, Bldg. 006-1
Filed:	Herewith	:		3605 Highway 52 North
Title:	OPTICAL SUBASSEMBLY (OSA) FOR OPTOELECTRONIC MODULES, AND METHOD OF MAKING SAME	:		Rochester, MN 55901-7829



INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

To the Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No. Unassigned
Docket No. ROC920010031US1

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(1) within three months of the filing date of the present application. Accordingly no filing fee is required.

Respectfully submitted,
Joseph Paul Kuczynski

By James R. Nock
James R. Nock
Attorney Reg. No. 42,937

Telephone: (507) 253-4661
Fax: (507) 253-2382

CERTIFICATE OF MAILING

Express Mail Mailing Number: EK208429710US
Date of Deposit: July 26, 2001

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

James R. Nock
James R. Nock